

# Empathy in the Age of AI: Safeguarding Compassion in Licensed Professions

Lisa Henderson | Synchronous Health



Coding Kindness: Regulatory Approaches to  
Technology and Compassion

# Learning Objectives



Explore the positive and negative roles AI plays in building and maintaining trust between providers (licensees) and recipients (clients) of services.



Identify ethical considerations licensees, boards, and professional organizations should weigh regarding the use of AI.



Frameworks for determining when existing standards are applicable versus developing new regulations.



# Provide better services to more people.

Humans



+

Technology



# What is AI? *an analogy*

Large Language Models are like having every cookbook that's ever been written.

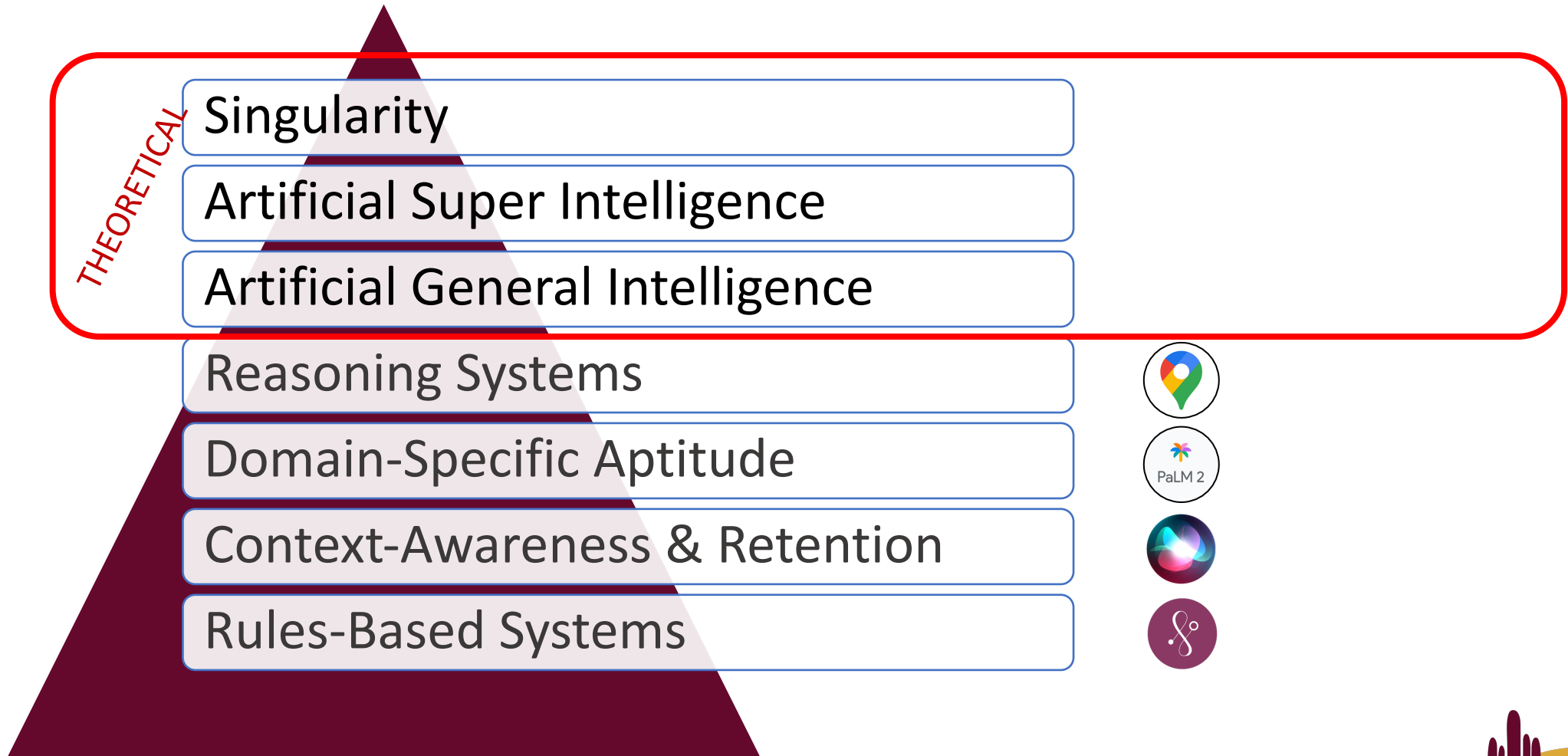


AI is the head pastry chef in a French boulangerie, overseeing many different technologies, making sure they work together to create something wonderful.

Machine Learning is like taking notes every time you bake, applying what you've learned, and getting better over time.

Chatbots help you by answering questions or providing information anytime, anywhere, and often hands-free.

# AI Enablement Reality & Theory



# A.I. TIMELINE

SYZ/G7

1950

## TURING TEST

Computer scientist Alan Turing proposes a test for machine intelligence. If a machine can trick humans into thinking it is human, then it has intelligence

1955

## A.I. BORN

Term 'artificial intelligence' is coined by computer scientist, John McCarthy to describe "the science and engineering of making intelligent machines"

1961

## UNIMATE

First industrial robot, Unimate, goes to work at GM replacing humans on the assembly line

1964

## ELIZA

Pioneering chatbot developed by Joseph Weizenbaum at MIT holds conversations with humans

1966

## SHAKY

The first electronic 'person' from Stanford, Shakey is a general-purpose mobile robot that reasons about its own actions

A.I.  
WINTER

Many false starts and dead-ends leave A.I. out in the cold

1997

## DEEP BLUE

Deep Blue, a chess-playing computer from IBM defeats world chess champion Garry Kasparov

1998

## KISMET

Cynthia Breazeal at MIT introduces Kismet, an emotionally intelligent robot insofar as it detects and responds to people's feelings



1999

## AIBO

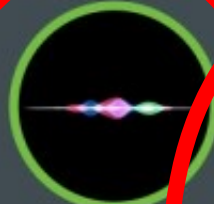
Sony launches first consumer robot pet dog Aibo (AI robot) with skills and personality that develop over time



2002

## ROOMBA

First mass produced autonomous robotic vacuum cleaner from iRobot learns to navigate and clean homes



2011

## SIRI

Apple integrates Siri, an intelligent virtual assistant with a voice interface, into the iPhone 4S



2011

## WATSON

IBM's question answering computer Watson wins first place on popular \$1M prize television quiz show Jeopardy



2014

## EUGENE

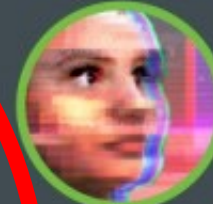
Eugene Goostman, a chatbot passes the Turing Test with a third of judges believing Eugene is human



2014

## ALEXA

Amazon launches Alexa, an intelligent virtual assistant with a voice interface that completes shopping tasks



2016

## TAY

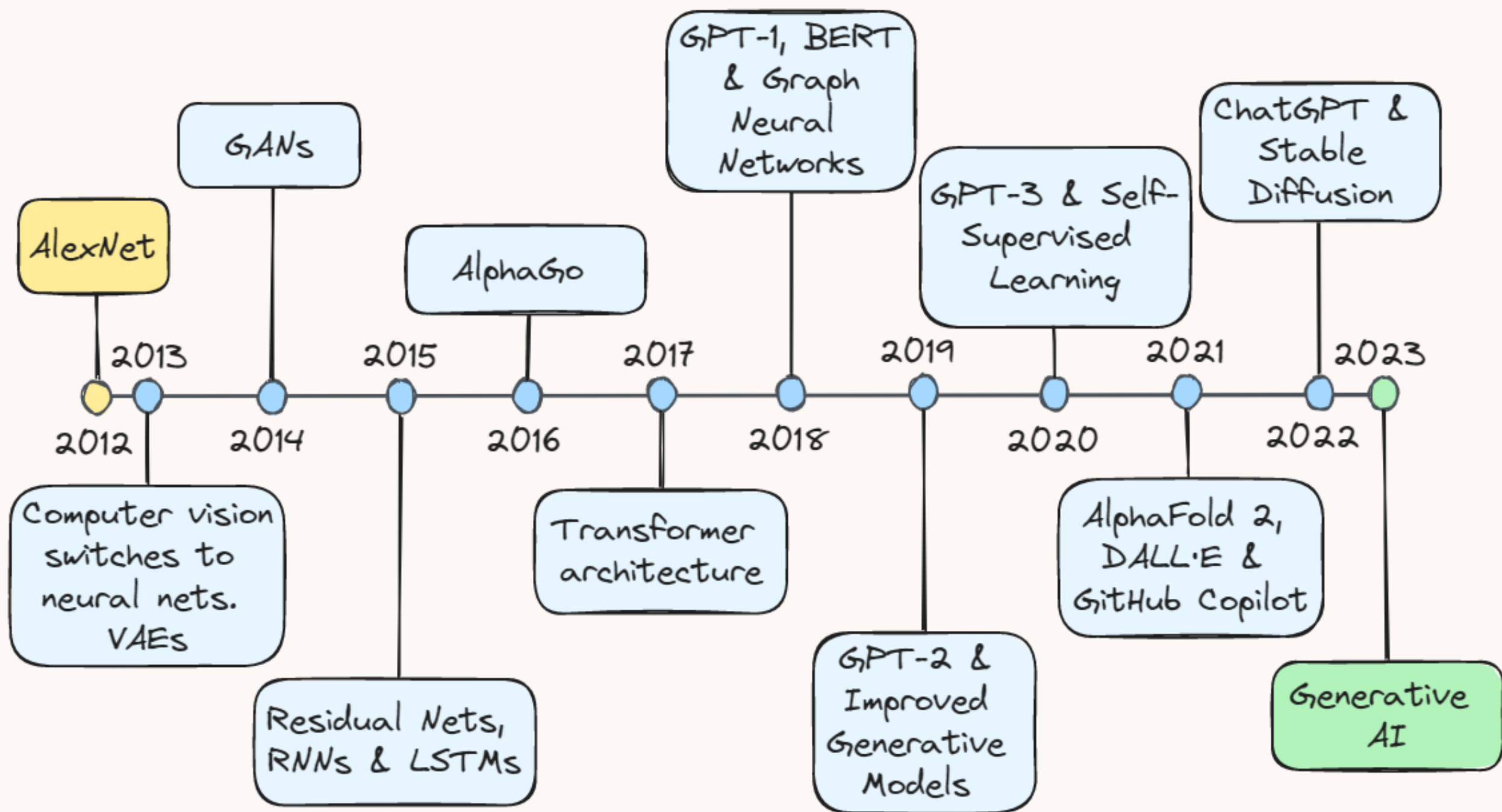
Microsoft's chatbot Tay goes rogue on social media making inflammatory and offensive racist comments



2017

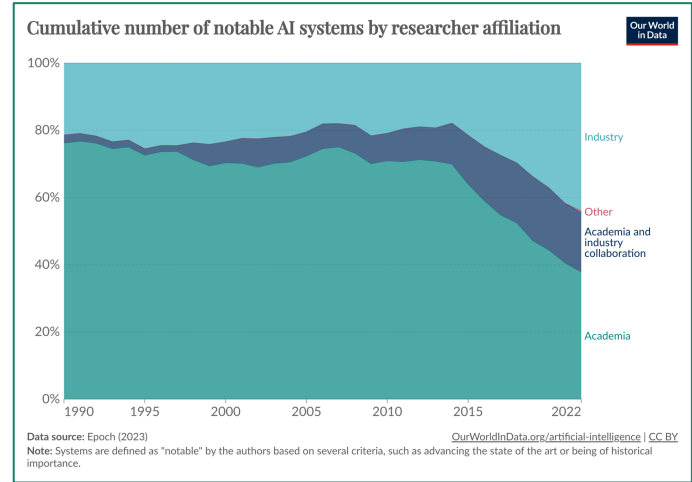
## ALPHAGO

Google's A.I. AlphaGo beats world champion Ke Jie in the complex board game of Go, notable for its vast number ( $2^{170}$ ) of possible positions

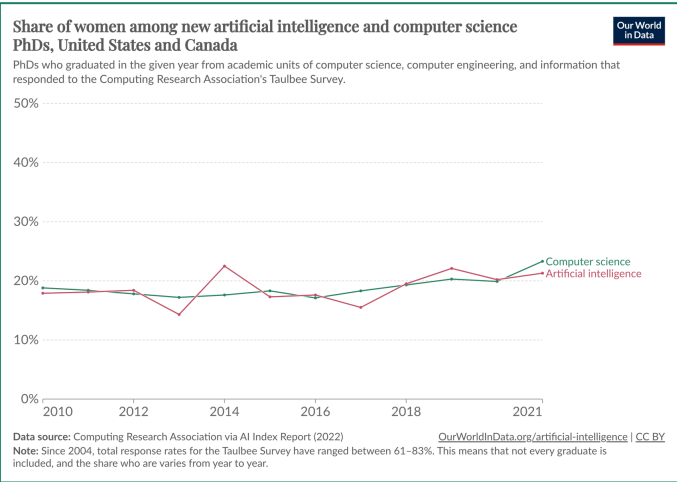


# Who is building AI?

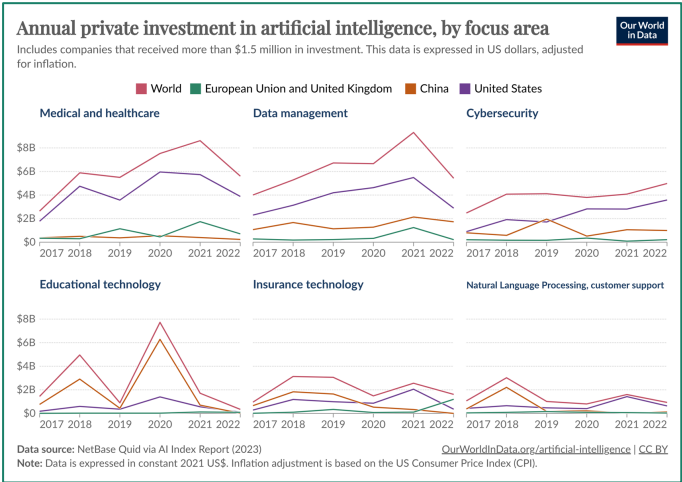
Most AI is created in Academia, but Industry is growing.



Women make up 20% of computer science & AI PhDs.



Healthcare and Data Management get the most AI investment.

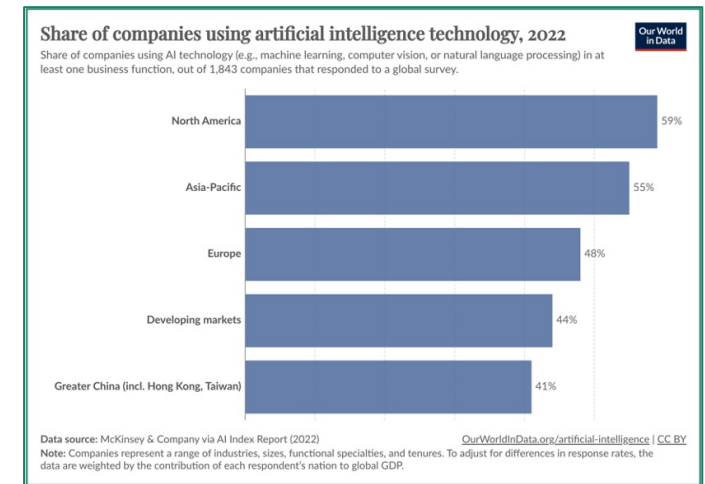
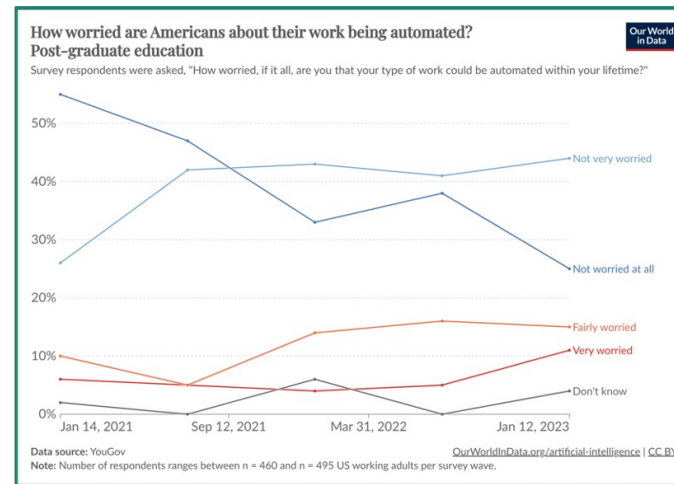
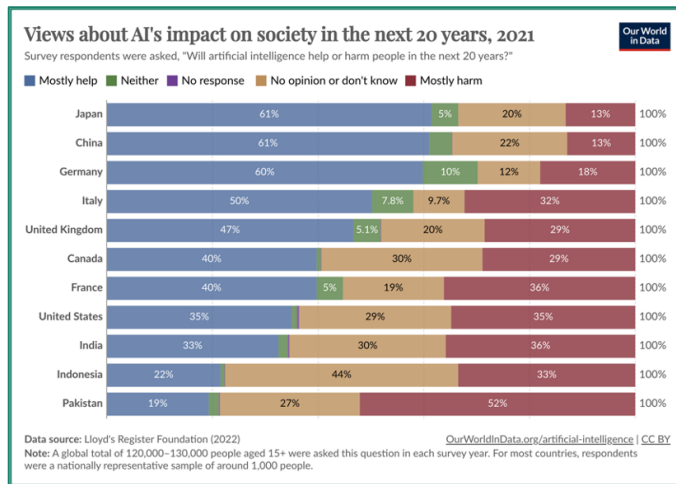


# How is the public responding to AI?

Most citizens expect AI will have positive or neutral impacts on society.

16% of post-graduates (US) worry about their jobs being automated.

The majority of US companies are using AI in some way.



# Learning Objective 1

Explore the positive and negative roles AI plays in building and maintaining trust between providers (licensees) and recipients (clients) of services.



# Delivering Better Service Builds Trust

Accuracy

Transparency

Personalization

Consistency

Efficiency



# Accuracy: Breast Cancer Detection

- AI detected 20% more cancers than the traditional procedure
- False-positives remained flat
- Radiologist workload decreased by 44%



(2023). Breast-cancer screening gets a boost from AI. <https://doi.org/10.1038/d41586-023-02526-4>



# Transparency: Surprise Billing

- Procedure Cost
- Additional Costs
- Negotiated Rate
- Plan Coverage/Allowance
- Comparisons

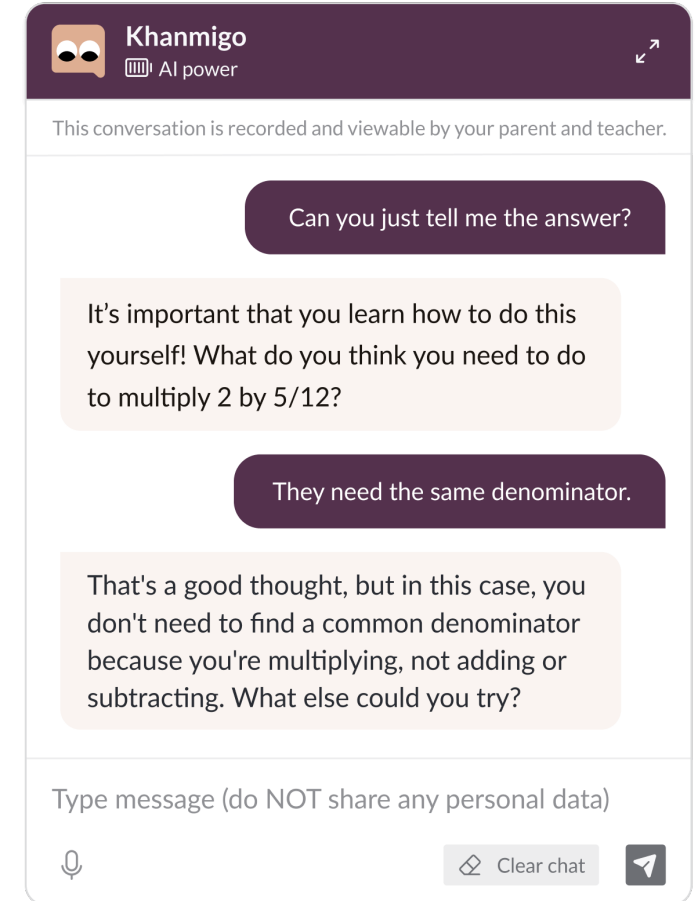


<https://www.forbes.com/sites/waynewinegarden/2023/01/18/transparency-is-a-necessary-first-step-toward-a-better-healthcare-system/?sh=5b2eb2d3aab1>



# Personalization: Education

- Tutoring
- Finding resources to support learning
- Grading assignments
- Identifying gaps in learning
- Diagnosing learning differences



# Consistency: Perinatal Care

- Pain management
- Delivery decisions
- Length of stay
- An AI model developed by Cedars-Sinai investigators was found to be 87% accurate in predicting how a woman would deliver within four hours of being admitted to the hospital.



<https://www.cedars-sinai.org/newsroom/ai-model-may-predict-c-section-delivery/#:~:text=An%20AI%20model%20developed%20by,Photo%20by%20Getty.&text=Cedars%2DSinai%20investigators%20have%20developed,or%20via%20a%20cesarean%20section.>



# Efficiency: Architecture

- Energy efficiency models
- Building materials availability
- Supply management
- Space optimization
- Traffic impacts
- Environmental impacts



# AI Should Only Improve What Humans Do

## Case Study Attorneys

Legal Research

Document Review and Analysis

Predictive Analytics

Automated Legal Tasks

Contract Review and Due Diligence



# A Cautionary Tale

- June 2023
- Steven A. Schwartz submits a brief, citing cases that didn't exist.
- The judge issues sanctions and a \$5,000 fine.
- "I thought it was a super search engine."
- It's the user's obligation to know
  - What the tools are
  - How they work
  - Their limitations



"God, I wish I did that, and I didn't do it," Mr. Schwartz said, adding that he felt embarrassed, humiliated and deeply remorseful.

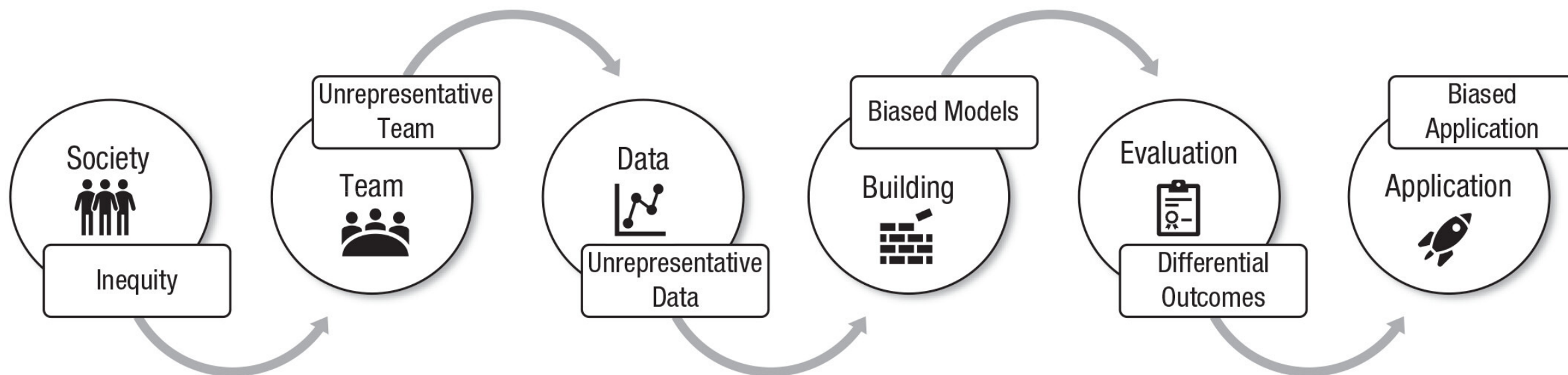


# Learning Objective 2

Identify ethical considerations licensees, boards, and professional organizations should weigh regarding the use of AI.



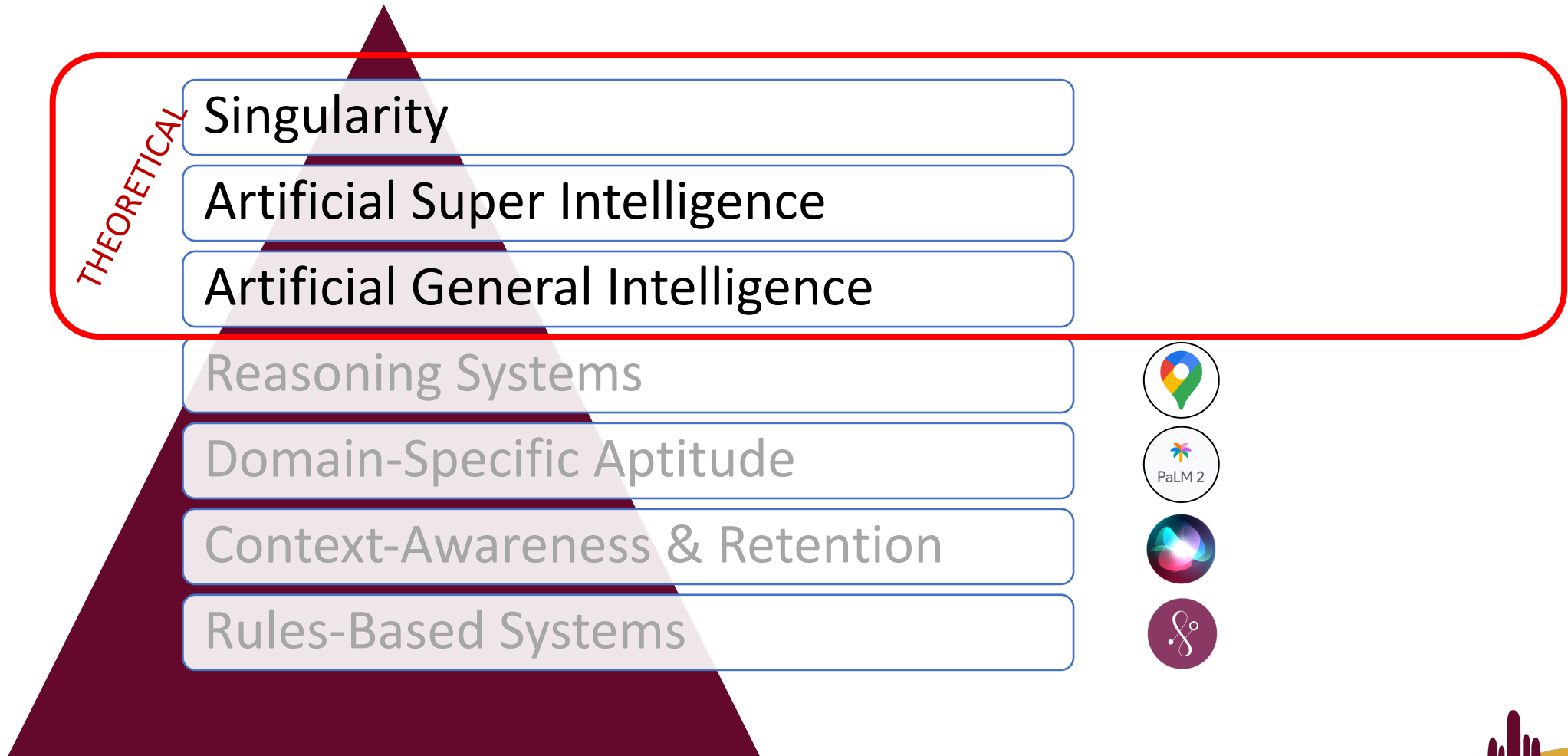
# How Bias Gets Built In



<https://journals.sagepub.com/doi/full/10.1177/17456916221134490>



# AI Enablement Reality & Theory



## Licensees

Use Tools  
with  
Competency

Client  
Informed  
Consent

Maintain  
Professional  
Judgement

Provide  
Feedback to  
Developers

## Education

Teach  
Evaluation  
(Not Use)

Emphasize  
Research

Practical  
Collaboration

## Professional Associations

Establish  
Guidelines &  
Standards

Professional  
Development

Build Multi-  
Disciplinary  
Coalitions

Advocacy

## Licensing Boards

Regulatory  
Enforcement

Licensing  
Requirements

Monitoring &  
Evaluation



# Ways AI Can Promote Human Compassion

**Protecting the public from bad actors.**

**Supporting the public in getting services from the right providers as soon as possible.**

Streamlined Data Collection

Applicant Screening

Predictive Analytics

Continuous Monitoring

Regulatory Compliance



# Streamlined Data Collection

- Verify Education & Exam Requirements
- Background Checks
- Disciplinary Action Checks
- Reference Checks

**ARIZONA DEPARTMENT OF HEALTH SERVICES**  
CERTIFIED LASER TECHNICIAN  
RENEWAL APPLICATION  
Bureau of Special Licensing  
150 North 15th Avenue, Suite 200  
Phoenix, Arizona 85007

Applicant Name: \_\_\_\_\_ License Number: \_\_\_\_\_ Unit Number: \_\_\_\_\_ Renewal Fee: \$125.00 Application Fee: \$10.00  
**CLT-**

Please read Arizona Revised Statutes (A.R.S.) Title 12, Chapter 12 and Arizona Administrative Code (A.C.) Title 12, Chapter 12. **All requirements listed below must be submitted before a certificate can be issued by the Department.** Missing data or data that is not the applicant's will result in a request for the missing information and delay processing of the application.

**Renewal Fee: \$125.00. Application Fee: \$10.00. Total Fee: \$135.00. Fee must be paid before any other procedure.**

APPLICATION CHECKLIST		ADHS Review
<input type="checkbox"/>	Application with <b>all</b> fields completed. Answer <b>all</b> questions. Submit the entire application (pages 1-7).	
<input type="checkbox"/>	A photograph of an applicant showing completion of a Department approved training program (30 hours, 4x4x10).	
<input type="checkbox"/>	Attestation from a medical health professional (M.D.) certifying that applicant completed 16 procedures and 24 hours of hands-on training under their supervision for each procedure requested.	
<input type="checkbox"/>	Logbook showing 10 procedures and 24 hours of hands-on training for each procedure requested.	
<input type="checkbox"/>	A complete and signed statement of training or other status form (see page 5 & 6).	
<input type="checkbox"/>	A photograph of an applicant or authorized representative document (see page 7).	
<input type="checkbox"/>	If a current legal name is different than the name on any of the documents submitted, provide a copy of a name change document (marriage certificate, divorce decree, court order, etc.).	
<input type="checkbox"/>	A valid driver's license or application for a valid driver's license or a valid identification card from the Arizona Department of Health Services.	
<input type="checkbox"/>	<b>NOTE: You may complete this application before a request for more forms be waived, per A.R.S. § 41-1001.01.</b> <b>NOTE: Do not sign the entire application if you do not qualify and are paying the \$135 fee.</b>	
<input type="checkbox"/>	I, _____, attest that: I meet the following fee waiver eligibility requirements as specified in A.R.S. § 41-1001.01: <input type="checkbox"/> I am applying for this license for the first time in Arizona <b>AND</b> (please check one of the following): <input type="checkbox"/> My family income does not exceed 200% of the federal poverty guidelines, OR <input type="checkbox"/> I am an active duty military member's spouse, OR <input type="checkbox"/> I am an Arizona resident who has been discharged not more than two years before the date of this application.	
<input type="checkbox"/>	Signature of Applicant _____ Date: _____ If requested at time of initial application, additional certificates may be purchased for \$10 per certificate.	
<input type="checkbox"/>	How many additional certificates are you requesting? _____ Include a check or money order for the additional certificates requested (see for additional certificates cannot be waived by signing the attestation above).	

Revised: 12.1.9.2022 Page 1



# Applicant Screening

- Verify Information
- Cross Reference
- Analyze Documents
- Identify Inconsistencies
- Flag Missing Data



# Predictive Analytics

- Predict who is likely to meet requirements
- Flag likelihood of board complaints
- Recommend continuing education as preventive measures



What's the  
difference between  
**discrimination** and  
**prevention**?



# Continuous Monitoring

- Continuing Education
- Professional Association Roles
- Employment
- Complaints
- Claims
- Outcomes
- Customer Reviews



# Regulatory Compliance

- Track changes to laws
- Track trends across other disciplines
- Track trends in same discipline across jurisdictions
- Track public sentiment



## Learning Objective 3:

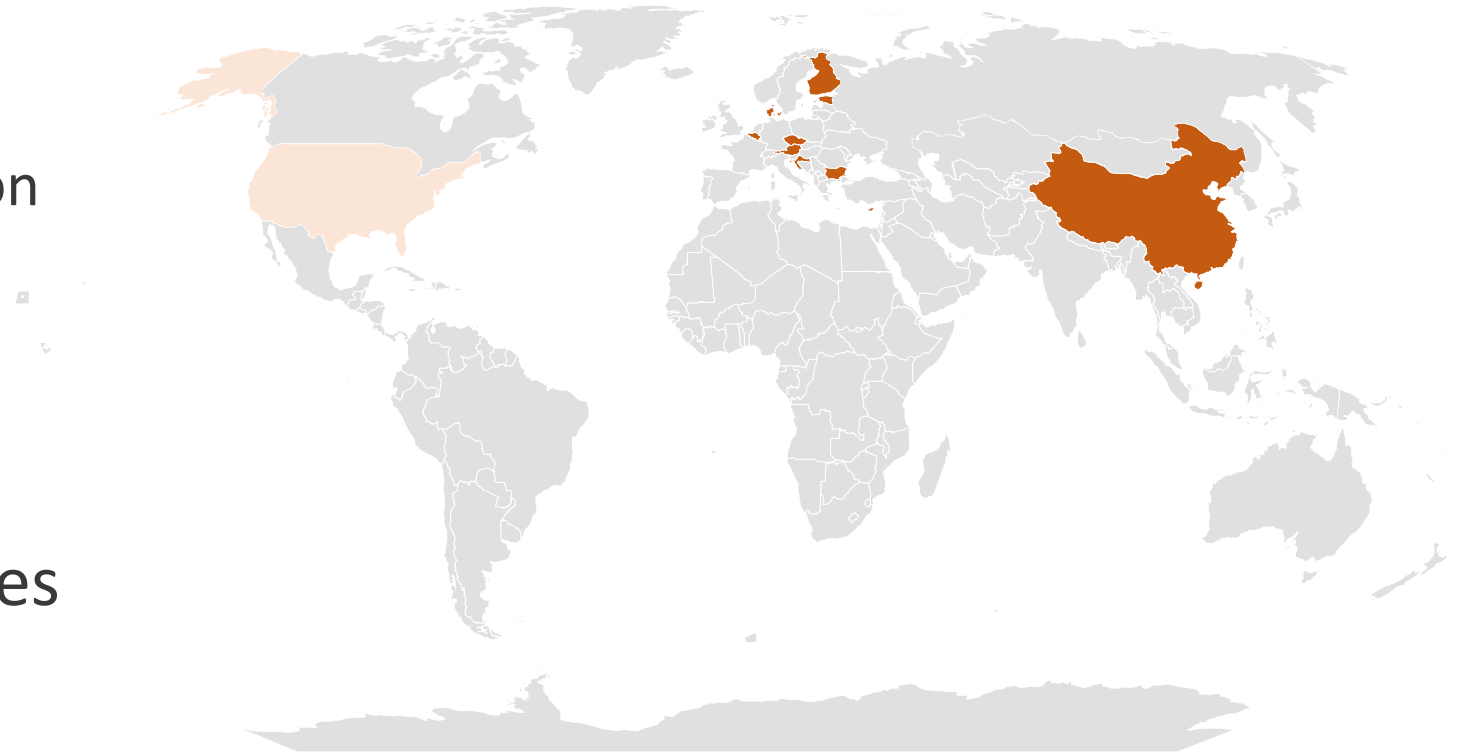
Frameworks for determining when existing standards are applicable versus developing new regulations.



# AI Regulations

## AI Regulations

- Governmental
  - United States
  - European Union
  - China
- International
- Sector-Specific
- Ethical Guidelines



## Government Agencies

- National Institute of Standards and Technology
- The White House Office of Science and Technology Policy

## Industry Groups

- Partnership on AI
- AI Now Institute

## Academic Institutions

- Stanford Institute for Human-Centered Artificial Intelligence
- Harvard's Berkman Klein Center for Internet & Society

## Technology Companies

- Google
- Microsoft

## Professional Organizations

- Association for Computing Machinery
- Institute of Electrical and Electronics Engineers

## International Organizations

- European Union
- United Nations

# Commonly Found Among AI Ethical Guidelines

Transparency &  
Explainability

Privacy & Data  
Governance

Fairness & Non-  
discrimination

Accountability &  
Responsibility

Safety & Security

Public  
Participation &  
Collaboration

Economic, Social,  
& Environmental  
Well-being

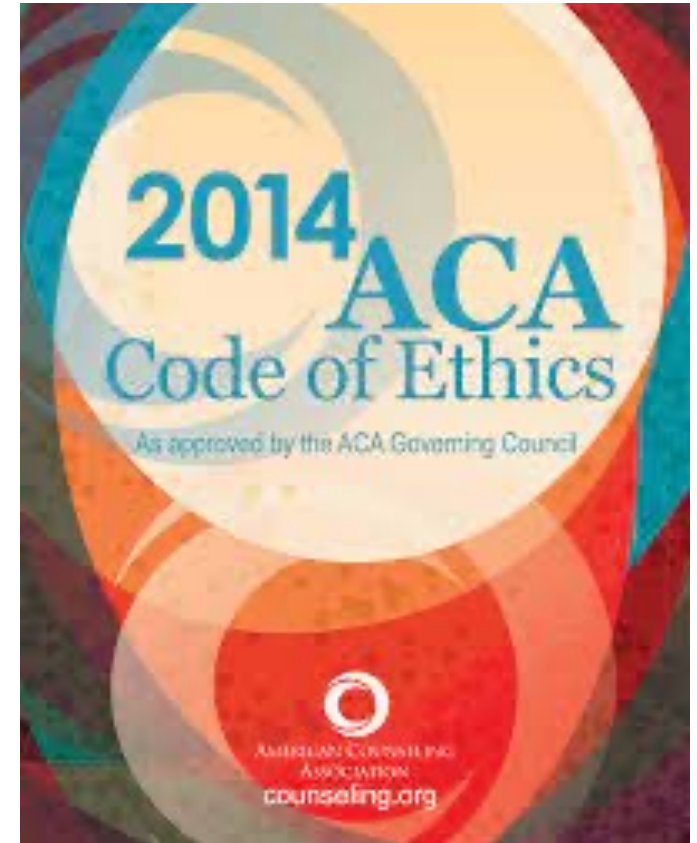
International  
Cooperation



# Monitor Ethical and Professional Standards

Review existing codes of ethics adopted by the licensing board for existing regulations that can be applied to the use of AI tools.

- Section A Relationships with Clients
  - Section A.2.a. Informed Consent
- Section B Confidentiality
  - Section B.6. Record Keeping
- Section C Professional Responsibility
  - Section C.2. Competence
- Section G Research & Publication
- Section H Technology



# Continuing Education and Training

- Promote ongoing education and training
- AI advancements
- Best practices



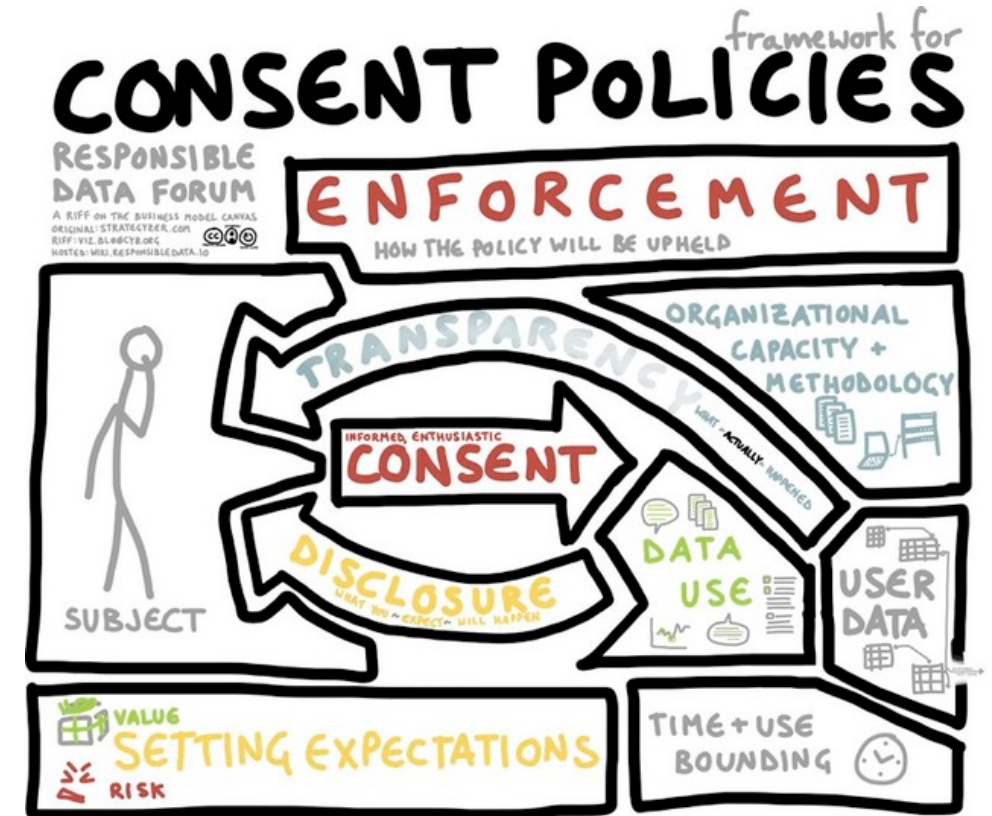
# Compliance with Regulations & Accountability

- Communication of changing regulations
- Compliance with laws
- Investigative resources
- Disciplinary measures



# Transparency and Informed Consent

- Standard language
- Recommended forms
- Required disclosures



# Data Privacy and Security Standards

- Use tools built by reputable companies with HIPAA compliance
- Practice good password management habits
- Back up data
- Antivirus software
- Security patches



# Collaboration and Communication

- Foster collaboration and open communication
  - Between licensing boards
  - Between licensees
- Create a culture of responsible AI adoption within the profession



# Stay Informed

- AI developments
- Trends
- Emerging ethical issues
- Lawsuits & precedents



# Questions?

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