

JUNE 2026

Compliance Module

BSA/AML risk scoring, EDD automation,
and compliance workflow orchestration

Implemented by FinTech Automation



Direct links to source documents, the live system, and guided walkthroughs

Source Documents - CAIB7 FILES

PDFCDD Form (Business)

PDFCDD Form (Personal)

DOCXBSA/AML Compliance Policy (Business/Entity)

DOCXBSA/AML Compliance Policy (Individual/Consumer)

XLXZip Code Records

XLXSTransaction Records

PDFEDD Forms

Implementation Process - FTA4 PHASES · 2 THREADS

An agile delivery arc, with communication and project management running across it.

1Requirements & Scoping

2Design

3Build

4Delivery & Go-Live

Project Management

Communication

Project Dashboard

Sample Meeting Record

THROUGHOUT

Deliverables- FTA3 SECTIONS

Data Normalization2 FILES

Guided Demos1 WALKTHROUGH

Live System7 MODULES

CAIB started with binders of forms, policies in Word, and a risk matrix in Excel. FTA replaced the entire stack with a single configurable platform



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THANK YOU

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