

## **Stephen R. King, Principal, Wolf & Company, P.C.**

Steve is a Principal in Wolf's Advisory Group and serves on the Firm's Regulatory Compliance Team, where he is responsible for coordinating, executing, and overseeing regulatory compliance services for clients. Steve guides clients through the myriad of federal and state banking statutes from an operational and legal perspective. Steve specializes in developing and customizing regulatory compliance performance and reporting structures, customized to the entity's unique characteristics and tailored to its individual risks. He assists with the universal regulatory compliance challenges facing all entities, such as growth, asset size, and transitions; as well as specific challenges such as examinations and BaaS / SaaS relationships from both sides.

Steve joined Wolf in 1996 and has over thirty years of experience as a compliance advisor, facilitator, and resource for financial institutions, fintechs, cryptocurrency entities, mortgage lenders, and investment advisors in compliance matters. He is an avid speaker and regularly travels to events to educate members of financial industry associations throughout the country.

Steve received his Bachelor of Arts in Political Science from Stonehill College and his Juris Doctor (JD) from Suffolk University Law School.